

05/15/01

1997 U.S. PTO  
09/854816

05/15/01

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

Only for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No.

9491-053-27 DIV

First Inventor or Application Identifier

ANDREW C. BRAISTED

Title

CONSTRAINED HELICAL PEPTIDES AND METHODS OF MAKING SAME

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)  
☐ Applicant claims small entity status.
2. ☒ Specification Total Pages **247**  
(including 95 page sequence listing)
3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets **31**
4. ☒ Oath or Declaration Total Pages **5**
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 C.F.R. §1.63(d))  
(for continuation/divisional with box 16 completed)
5. ☒ Incorporation By Reference (usable if box 4B is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

## ACCOMPANYING DOCUMENTS

6. ☐ Assignment Papers (cover sheet & document(s))
7. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
8. ☐ English Translation Document (if applicable)
9. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
10. ☐ Preliminary Amendment
11. ☒ White Advance Serial No. Postcard
12. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
13. ☒ Request For Preparation of a Computer Readable Sequence Listing with Copy of First Page Sequence Listing
14. ☐ List of Inventors' Names and Addresses
15. ☒ Other: Copy Certificate Re: Sequence Listing Response  
Paper Copy of Sequence Listing (121 pages)

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application no.: 08/965,056, filed 11/5/1997 (pending)

Prior application information: Examiner: C. Delacroix-Muirheid

Group Art Unit: 1614

17. Amend the specification by inserting before the first line the sentence:

- ☒ This application is a ☐ Continuation ☒ Division ☐ Continuation-in-part (CIP)  
of application Serial No. 08/965,056 Filed on 11/5/1997 (pending), now US Patent 6,271,198,  
which claims priority to: Provisional Application No. 60/049,787, filed June 16, 1997 (now abandoned);  
Continuation-in-part Application Serial No. 08/876,698, filed June 16, 1997 (now abandoned); and Application Serial No.  
08/743,698, filed November 6, 1996 (now abandoned).

## 18. CORRESPONDENCE ADDRESS

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Name	Steven B. Kelber	Registration No.	30,073
Signature	Laura D. Nammo	Date	5-15-2001
Name	Laura D. Nammo	Registration No.	42,024

Telephone 202-861-3900

# FEE TRANSMITTAL

Docket No.	9491-053-27 DIV
Serial No.	NEW DIVISIONAL APPLICATION
Filing Date	HEREWITH
Inventor(s)	ANDREW C. BRAISTED, ET AL.
Group Art Unit	UNASSIGNED
Examiner	UNASSIGNED

TOTAL AMOUNT OF PAYMENT \$1,078.00

1. ☐ Applicant claims small entity status.
- ☒ Charge any **UNDERPAYMENT** or credit any **OVERPAYMENT** in the indicated fees to Deposit Account No. 50-1442.
- ☐ Charge the indicated fees to Deposit Account No. 50-1442.

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		

### FEE CALCULATION

#### 1. BASIC FILING FEE

105	130	205	65	Surcharge-late filing fee or oath	
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2520	147	2520	Ex parte reexam. fee	
101	710	201	355	Utility filing fee	710
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)				\$710.00	

#### 2. EXTRA CLAIM FEES

120	310	220	155	Appeal Brief	
121	270	221	135	Request for Oral Hearing	
142	1240	242	620	Utility/Reissue Issue Fee	
143	440	243	220	Design Issue Fee	
144	600	244	300	Plant Issue Fee	
122	130	122	130	Petitions to the Commissioner	
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
109	80	209	40	*Reissue independent claims over original patent	
110	18	210	9	*Reissue claims in excess of 20 and over original patent	

OTHER (indicate below):

SUBTOTAL (2) \$368.00

\* or number previously paid, if greater; For Reissues, see above

SUBTOTAL (3) \$0.00

Name	Steven B. Kelber	Registration No.	30,073
Signature	<i>Laura D. Nammo</i>	Date	5-15-2001
Name	Laura D. Nammo	Registration No.	42,024

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**COPY**

Patent Docket P1005R2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Andrew C. Braisted et al. Serial No.: 08/743,698 Filed: 6 November 1996 For: <b>Constrained Helical Peptides and Methods of Making Same</b>	Group Art Unit: Unassigned Examiner: Unassigned  <b>CERTIFICATE OF MAILING</b> I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231. Express Mail Number: <b>EM 239481777 US</b> <b>November 5, 1997</b> <i>Duane Alexander Vick</i> <b>Duane Alexander Vick</b>
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**CERTIFICATE RE: SEQUENCE LISTING**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

I hereby state that the Sequence Listing submitted herewith is submitted in paper copy and a computer-readable diskette, and that the content of the paper and computer readable copies are the same. I further state that this submission includes no new matter.

Respectfully submitted,  
GENENTECH, INC.

Date: November 5, 1997

By: *Timothy E. Torchia*  
Timothy E. Torchia, Ph.D.  
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DOCKET NO. 9491-053-27 DIV

**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

IN RE APPLICATION OF: :

ANDREW C. BRAISTED, ET AL. :

SERIAL NO: NEW DIVISIONAL APPLICATION :

FILED: HERewith :

FOR: CONSTRAINED HELICAL PEPTIDES AND METHODS OF MAKING SAME

**REQUEST FOR PREPARATION OF A COMPUTER-READABLE  
SEQUENCE LISTING AND STATEMENT**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

The paper copy of the Sequence Listing submitted in this application is identical to the computer-readable copy of the Sequence Listing of record in parent application Serial No. 08/965,056 filed November 5, 1997. In accordance with 37 CFR 1.821(e), please use the last-filed computer-readable form in parent application Serial No. 08/965,056 as the computer-readable form for the instant application. It is understood that the Patent and Trademark Office will make the necessary changes in application number, filing date and priority information (to include this application). A copy of the first page of the Sequence Listing highlighting the fields with information to be updated is attached hereto for the Examiner's convenience.

Respectfully submitted,

PIPER RUDNICK MARBURY & WOLFE, LLP

5-15-2001

Date



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